

# Ethics Code

All members of SOHATRAM & EMH GROUP

Act with integrity, competence, diligence, respect and ethical manner with the public, their customers and prospects, their employers, their employees, their employees and other stakeholders in the markets of transportation, handling and lifting

- Place the integrity of the profession and the interests of clients above their personal interests;
- Be diligent and independently use their judgment in the exercise of the profession
- work and encourage others to work in a professional and ethical manner, to maintain the highest level professionalism, and that of the whole profession;
- Promote integrity and respect for the rules governing the market
- Maintain and improve their professional skills and contribute to maintaining and improving the competence of other professionals.

Standard of Professional Conduct Group SOHATRAM & EMH

## I. PROFESSIONALISM

### A. *Knowledge of the law*

Members must understand and comply with all laws, rules and regulations (including the Code of Ethics and Standards ("Standards") SOHATRAM & EMH of Professional Conduct) of any government, regulatory body, agency awarding the right to practice or professional association governing their professional activities. In case of conflict, Members must comply with laws, rules or regulations strict. Members must refrain from participating or knowingly contribute to any violation of the laws, rules or regulations and must dissociate from any violation.

### B. *Independence and objectivity*

Members must show diligence and a reasonable capacity for judgment to achieve and maintain independence and objectivity in their professional activities. Members must not offer, solicit or accept any gift, benefit, allowance or remuneration reasonably likely to jeopardize their personal independence and objectivity or independence or objectivity of another person.

### C. *Misrepresentation*

Members must refrain from intentionally false statements in exercise of the profession.

### D. *Breach of professional obligations*

Members must refrain from any professional conduct involving dishonesty, fraud or deception. They must also refrain from committing any act that adversely affect their reputation, their integrity or professional competence.

## II. MARKET INTEGRITY

### A. *Material non-public*

Members in possession of confidential information and may affect the value of services should not act or permit others to act on such information.

### B. *Price manipulation*

Members must refrain from practices that distort prices artificially trading volumes in order to mislead market participants

## III. OBLIGATIONS TOWARDS CLIENTS

### A. *Loyalty, prudence, and diligence*

Members have a duty of loyalty to their clients and must exercise care and diligence as is reasonable in their judgment. Members and Candidates must act in the interests of their clients and must put the interests of these before the interests of their employer or their own interests.

### B. *Equity*

Members shall conduct themselves fairly and objectively to their clients when conducting business in the performance of all phases or under any other occupation.

### C. *Appropriateness*

In the context of a relationship with a client in the field of assistance or advice heritage, Members must:

Reasonably consider the experience of the client or prospect in terms of expectations, determine its objectives and risk and performance constraints, before providing the service or to make any, except that it should also be regularly reassessed and update such information;

Determine the appropriateness of the service and verify that it matches the requirements specified in the contract or order, mandates, and constraints of the client

Assessing the adequacy of benefits in the context of the overall portfolio of customer

Members responsible for managing a portfolio as part of a mandate, strategy or approach must provide specific advice or perform operations only correspondent to the objectives and constraints of the portfolio.

### D. *Presentation of performance*

As part of the communication of information regarding investment performance, Members or Candidates must make reasonable efforts, to ensure that data are accurate, complete and correct.

*E. Maintaining confidentiality*

Members and Candidates must maintain the confidentiality of information relating to their current clients, former clients and prospects, except

Whether information about illegal activities of the client or prospective client; if the disclosure is required by law; if the client or prospective client permits disclosure of information

**IV. OBLIGATIONS TO EMPLOYERS**

*A. Loyalty*

As part of their professional activities, Members and Candidates must act in the interests of their employer, not to deprive it of the benefit from their skills and abilities, nor disclose confidential information or cause any other way prejudice.

*B. Supplementary arrangements regarding compensation*

Except where written agreement of the parties concerned, Members and Candidates must not accept gifts, benefits, compensation or remuneration competing interests of their employer or can reasonably assume that they will create a conflict of interest vis -a-vis their employer.

*C. Responsibilities as supervisors*

Members and Candidates must reasonably attempt to detect and prevent violations of laws, rules, regulations and the Code and "Standards" by any person under their authority.

**Mohamed HASSAN**

**Date: 01-01-2020**

